# **Sparse Training:**

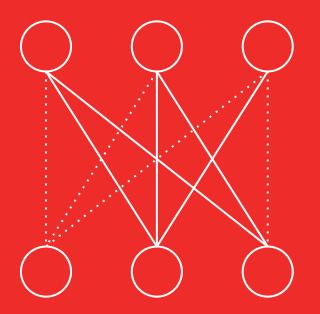
Aligning Sparse Masks with Weight Symmetry

#### Yani Ioannou

Schulich Research Chair / Assistant Professor Dept. of Electrical & Software Engineering, Schulich School of Engineering, University of Calgary

#### **Imperial College**

October 2<sup>nd</sup>, 2025





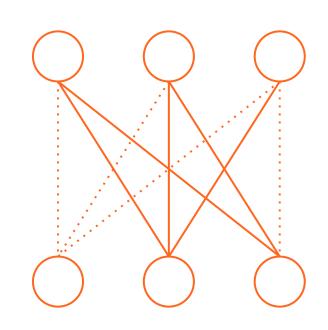


# **Sparse Training:**

Aligning Sparse Masks with Weight Symmetry

## 1. Short Biography

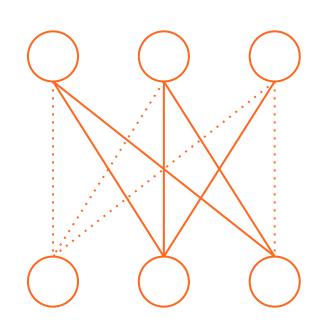
- 2. Motivation
- 3. Background
- 4. Aligning Sparse Masks





# Sparse Training: Aligning Sparse Masks with Weight Symmetry

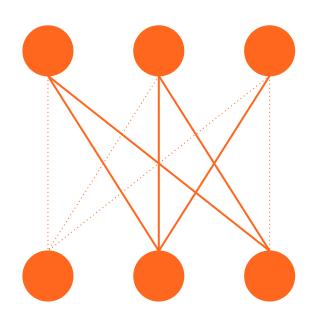
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#### Why Sparse Neural Networks?

 We will focus on weight sparsity, but there are other forms of sparsity (e.g. activation)

- Reducing the cost of NN training and inference
- Learning NN structure from data
- Understanding & improving NN training



#### Sparse Training from Random Initialization: Aligning Lottery Ticket Masks using Weight Symmetry

Mohammed Adnan \*12 Rohan Jain \*1 Ekansh Sharma 32 Rahul G. Krishnan 32 Yani Joannou 1

#### Abstract

The Lottery Ticket Hypothesis (LTH) suggests there exists a sparse LTH mask and weights that achieve the same generalization performance as the dense model while using significantly fewer parameters. However, finding a LTH solution is computationally expensive, and a LTH's sparsity mask does not generalize to other random weight initializations. Recent work has suggested that neural networks trained from random initialization find solutions within the same basin modulo permutation, and proposes a method to align trained models within the same loss basin. We hypothesize that misalignment of basins is the reason why LTH masks do not generalize to new random initializations and propose permuting the LTH mask to align with the new optimization basin when performing sparse training from a different random init. We empirically show a significant increase in generalization when sparse training from random initialization with the permuted mask as compared to using the non-permuted LTH mask, on multiple datasets (CIFAR-10/100 & ImageNet) and models (VGG11 & ResNet20/50). Our codebase for reproducing the results is publicly available at here.

#### 1. Introduction

In recent years, foundation models have achieved state-ofthe-art results for different tasks. However, the exponential increase in the size of state-of-the-art models requires a similarly exponential increase in the memory and computational costs required to train, store and use these models decreasing the accessibility of these models for researchers and practitioners alike. To overcome this issue, different model compression methods, such as pruning, quantization and knowledge distillation, have been proposed to reduce the model size at different phases of training or inference. Post-training model pruning (Han et al., 2016) has been shown to be effective in compressing the model size, and seminal works have demonstrated that large models can be pruned after training with minimal loss in accuracy (Gale et al., 2019; Han et al., 2015). While model pruning makes inference more efficient, it does not reduce the computational cost of training the model.

Motivated by the goal of training a sparse model from a random initialization, Frankle & Carbin (2019) demonstrated that training with a highly sparse mask is possible and proposed the Lottery Ticket Hypothesis (LTH) to identify sparse subnetworks that, when trained, can match the performance of a dense model. The key caveat is that a dense model must first be trained to find the sparse mask, which can only be used with the same random initialization that was used to train the dense model. Despite LTH seeing significant interest in the research community, LTH masks cannot be used to train from a new random initialization. Furthermore, it has been observed empirically that the LTH is impractical for finding a diverse set of solutions (Evci et al., 2022).

This posits our main research questions: How can we train a LTH mask from a different random initialization while main-aining good generalization? Would doing so find a more diverse set of solutions than observed with the LTH itself?

In this work, we try to understand why the LTH does not work for different random initializations from a weight-space symmetry perspective. Our hypothesis is that to reuse the LTH winning ticket mask with a different random initialization, the winning ticket mask obtained needs to

be permuted such that it aligns we associated with the new random is our hypothesis in Figure 1.

To empirically validate our hypo mask using Iterative Magnitude Pt 2020; Han et al., 2015) on model A that given a permutation that alig of model A and a new random in be reused. The sparse model (wit be trained to closer match the ge





Mohammed Adnan
PhD Student



**Dr. Ekansh Sharma**PhD Graduate
University of Toronto



Rohan Jain MSc Graduate



**Dr. Rahul Krishnan**Assistant Professor
U. Toronto/Vector Institute

 Presented at International Conference on Machine Learning (ICML) 2025

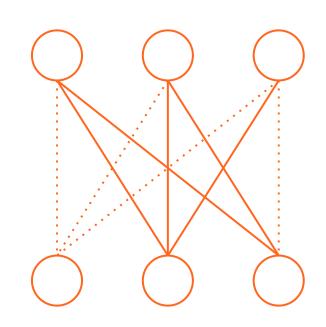




<sup>\*</sup>Equal contribution <sup>1</sup> Schulich School of Engineering, University of Calgary <sup>2</sup>Vector Institute for AI <sup>3</sup>Dept. of Computer Science, University of Toronto. Correspondence to: Mohammed Adnan adnan.ahmad@ucalgary.ca>, Yani Ioannou </rd>

# Sparse Training: Aligning Sparse Masks with Weight Symmetry

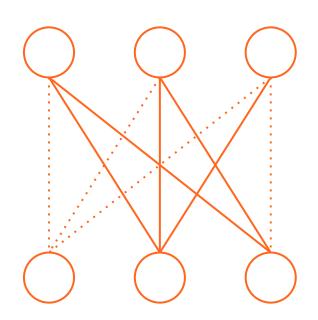
- 1. Short Biography
- 2. Motivation
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## 3. Background

## i. Weight Symmetry

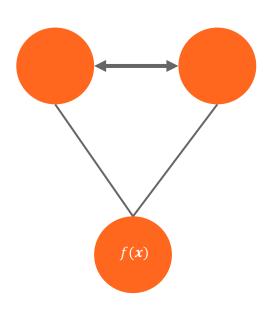
ii. Sparse Training Problemiii. Lottery Ticket Hypothesis





### Weight Symmetry: Foundations

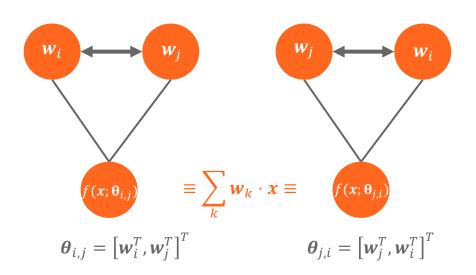
- NN layers are permutation invariant: the ordering of neurons is arbitrary
- Different permutations result in the same function, but different parameterizations
  - o i.e. model is a different point in weight space
- NN are an example of what is generally known as a symmetric function





## Weight Symmetry: Foundations

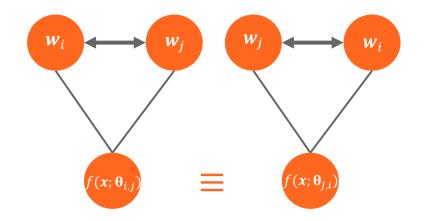
- Different permutations result in same function, but different weight parameterizations
- For a NN with L layers, and layer width w, the **number of permutations** is:  $(w!)^L$
- NN permutations often number more than atoms in universe (10<sup>80</sup>)





### Weight Symmetry: Implications

- No unique minima (or solutions) in weight space
- Why 1<sup>st</sup>-order optimization can find good solutions with random init<sup>2</sup>
- May exist only one "basin" modulo permutations<sup>1,2</sup>, e.g. why random init. find similar solutions...



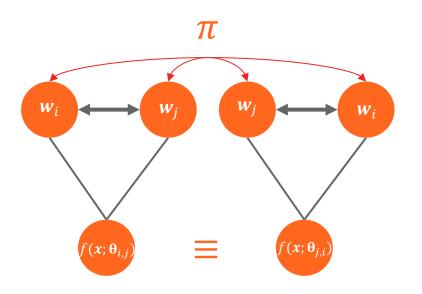


<sup>&</sup>lt;sup>1</sup>Rahim Entezari, Hanie Sedghi, Olga Saukh, and Behnam Neyshabur. *The role of permutation invariance in linear mode connectivity of neural networks.* ICLR 2022.

<sup>&</sup>lt;sup>2</sup>Samuel K. Ainsworth, Jonathan Hayase, Siddhartha Srinivasa. *Git Re-Basin: Merging Models modulo Permutation Symmetries*. ICLR 2023.

### Permutation Alignment/Mapping

- Finding exact  $\pi$  for deep NN is NP Hard
- Greedy approximation w/ weight matching¹
  - Linear Assignment Problem (LAP) per layer
  - Maximizes correlation of weights/activations
  - Best results empirically for very wide NNs
- Activation matching more robust in general<sup>2</sup>



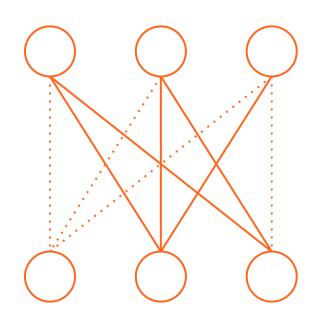
<sup>&</sup>lt;sup>2</sup>Keller Jordan, Hanie Sedghi, Olga Saukh, Rahim Entezari, and Behnam Neyshabur. *Repair: Renormalizing permuted activations for interpolation repair.* ICLR 2023.



<sup>&</sup>lt;sup>1</sup>Samuel K. Ainsworth, Jonathan Hayase, Siddhartha Srinivasa. *Git Re-Basin: Merging Models modulo Permutation Symmetries*. ICLR 2023.

# 3. Background

i. Weight Symmetryii. Sparse Training Problemiii. Lottery Ticket Hypothesis

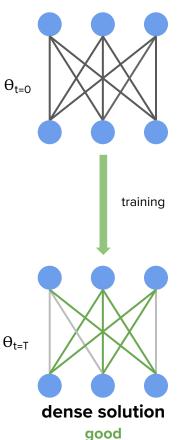




### Standard NN Training

- Train a dense NN from a random initialization to find a dense solution
- This solution generalizes
   well in fact similarly even
   for different random init.!
- Recall: weight symmetry can explain this
- —— High saliency weight
- Low saliency weight
- ····· Masked weight

#### dense initialization



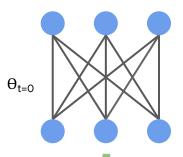
Random initialization  $\sim N(0, \sigma)$ 



#### **Unstructured Pruning**

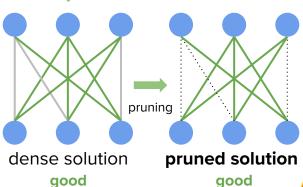
- Prune low saliency weights
  - Most commonly remove smallest magnitude weights
- "One-shot" pruning
  - Train and then prune once
- Iterative pruning
  - $\begin{array}{c} \bullet & \text{Train a bit, prune a bit, repeat} \\ \text{several times} & \quad \theta_{t=T} \end{array}$
- —— High saliency weight
- Low saliency weight
- ····· Masked weight

dense initialization



Random initialization  $\sim N(0, \sigma)$ 









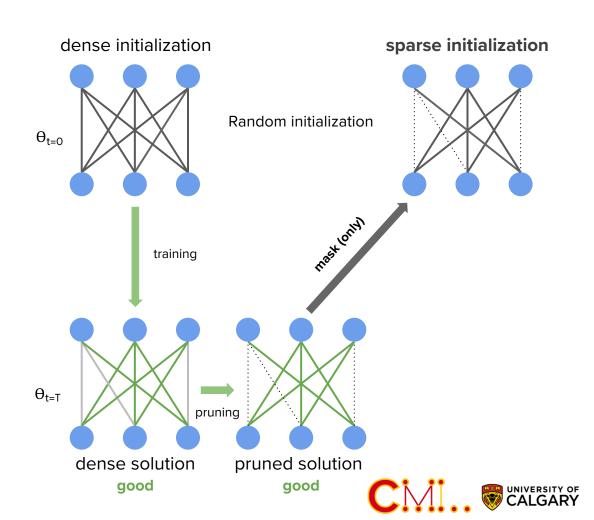
## Sparse Training?

- We know we don't need
   ~85-95% of weights at inference...
- Lots of methods to prune after training... but can we train pruned NNs from random initialization?

—— High saliency weight

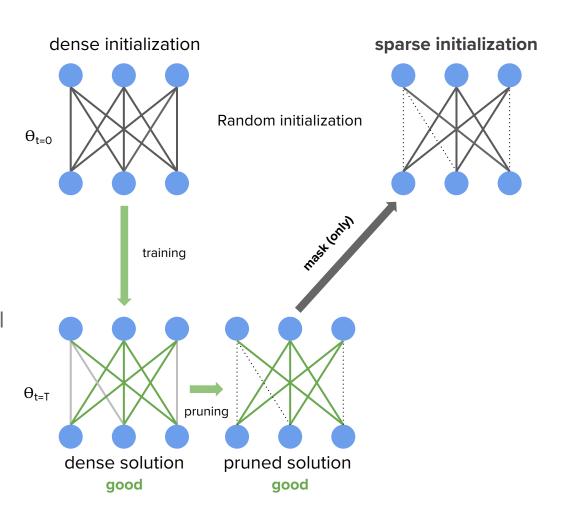
—— Low saliency weight

····· Masked weight



### Naive Sparse Training

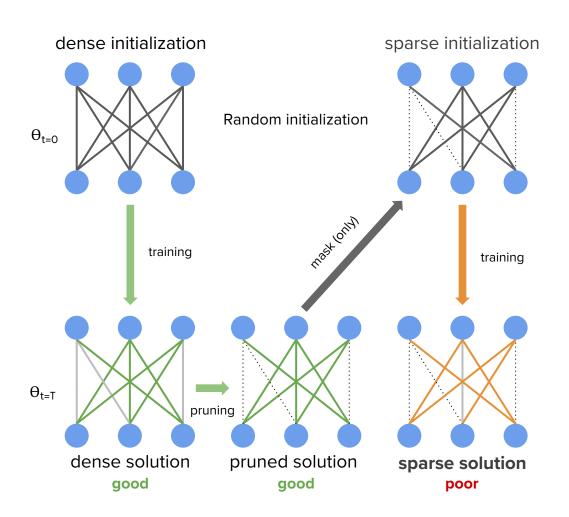
- Can we train sparse neural networks from random initialization?
- Let's use only the knowngood mask from pruning
- Try to train our sparse model from "scratch", i.e. from random initialization...
- —— High saliency weight
- —— Low saliency weight
- ····· Masked weight



# Sparse Training Problem

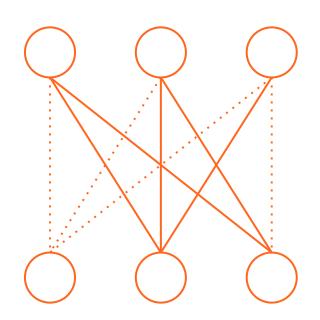
 The sparsely trained model (sparse solution) doesn't generalize as well as the original dense solution or pruned solution!

High saliency weightLow saliency weightMasked weight



# 3. Background

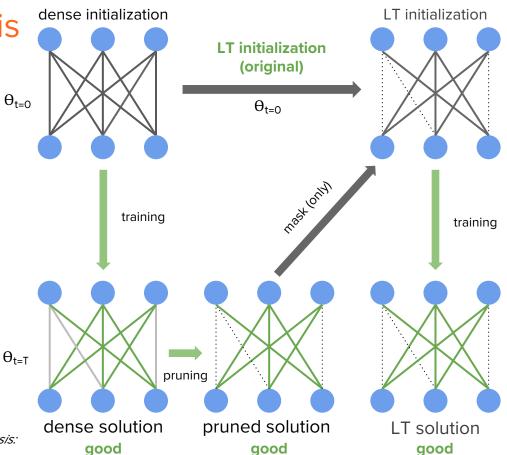
i. Weight Symmetryii. Sparse Training Problemiii. Lottery Ticket Hypothesis





## Lottery Ticket Hypothesis

- An unstructured sparse NN, when trained from a Lottery Ticket "initialization" can generalize well
- This initialization was the original initialization the dense (pruned) model was trained from

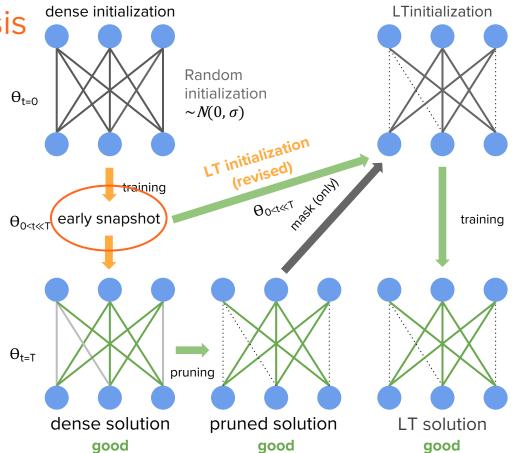


Jonathan Frankle and Michael Carbin. *The Lottery Ticket Hypothesis: Training Pruned Neural Networks.* International Conference on Learning Representations (ICLR), 2019

Lottery Ticket Hypothesis (revised)

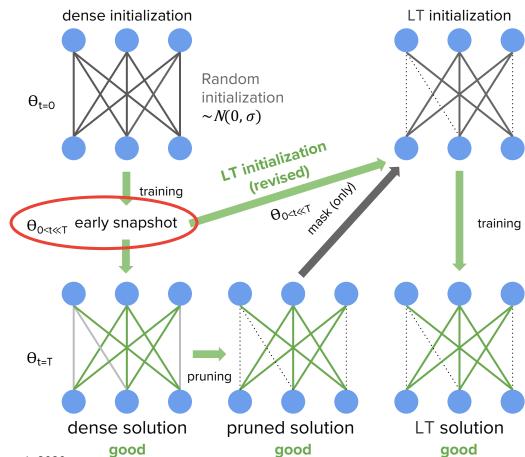
 This initialization was the original initialization the dense (pruned) model was trained from

- LT initialization in general is weights from early training<sup>1</sup>
- This is very expensive to find



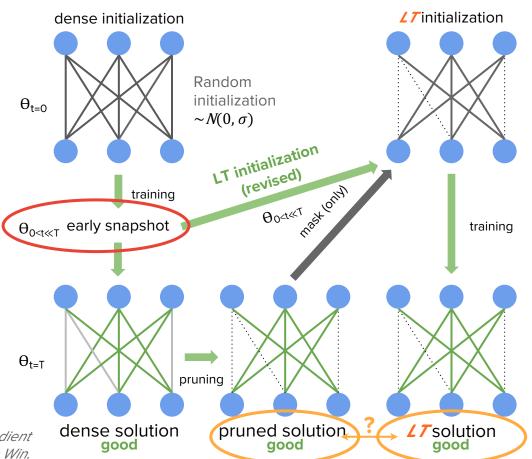
## **Lottery Tickets**

How random is the LT initialization?

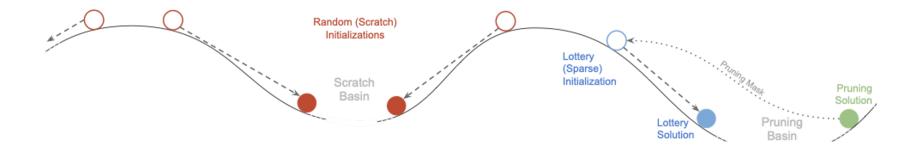


## **Lottery Tickets**

- How "random" is the LTH "initialization"? Not very...
- LTH doesn't work with an arbitrary random init!
- In previous work we showed LTs are re-learning extremely similar solutions within the same basin<sup>1</sup>



<sup>1</sup>Utku Evci, Yani Ioannou, Cem Keskin, Yann Dauphin. *Gradient Flow in Sparse Neural Networks and How Lottery Tickets Win.* AAAI 2022



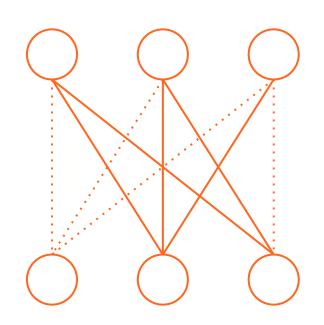
- 1. LT solution is close to the pruned solution
- 2. LT/pruned solution is the same basin of convergence
- 3. LT/pruned solution's learn very similar functions

LTs appear to re-learn the pruned solution they are derived from



# Sparse Training: Aligning Sparse Masks with Weight Symmetry

- 1. Short Biography
- 2. Motivation
- 3. Background
- 4. Aligning Sparse Masks





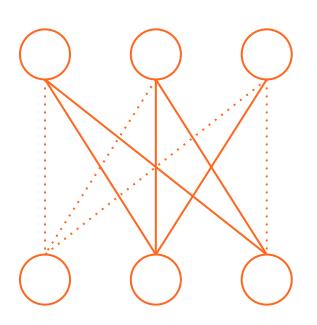
# 4. Aligning Sparse Masks

## i. Hypothesis

ii. Experimental Methodology

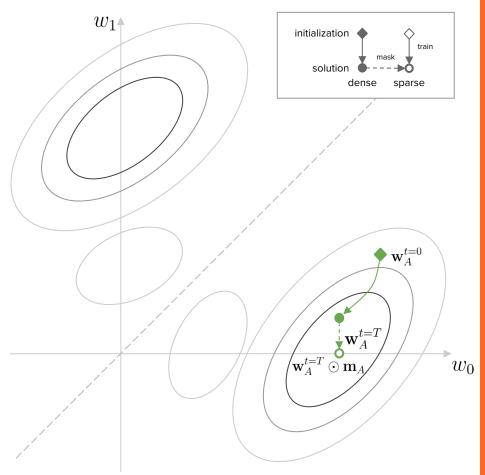
iii. Results

iv. Analysis





#### Pruning Loss Landscape



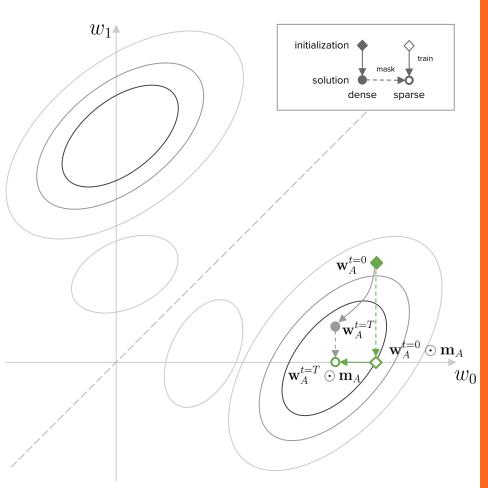
- loss landscape with two weights  $\mathbf{w}_A = (\mathbf{w_0}, \mathbf{w_1})$
- $\bullet$  Train from  $\mathbf{w}_A^{t=0}$  to soln.  $\mathbf{w}_A^{t=T}$
- Prune  $\mathbf{w}_A^{t=T}$  with  $\mathbf{m}_A = (1,0)$

Figure 7. A 2D loss landscape visualization of our method in the setting of a model with a single layer and two parameters on a single input scale.





#### LTH Loss Landscape



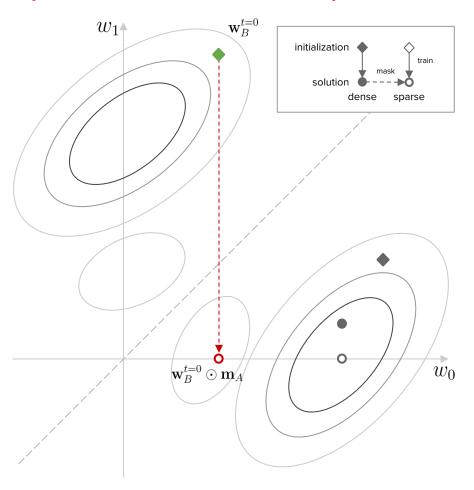
- Project (prune) re-using m<sub>A</sub>
- Train from  $\mathbf{w}_A^{t=0} \odot \mathbf{m}_A$
- End training at  $\mathbf{w}_A^{t=T} \odot \mathbf{m}_A$

Figure 7. A 2D loss landscape visualization of our method in the setting of a model with a single layer and two parameters on a single input scale.





#### Sparse Loss Landscape



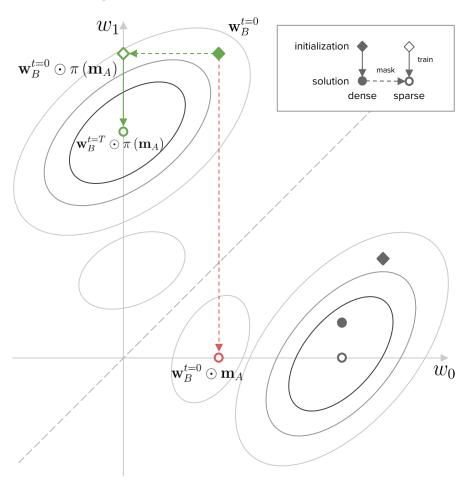
- Train w/ new random init.  $\mathbf{w}_{B}^{t=0}$
- Re-using  $\mathbf{m}_A$  is illustrated
  - This is clearly the wrong axis to project to from new initialization
  - Masked init falls outside basin
- Training from  $\mathbf{w}_B^{t=0} \odot \mathbf{m}_A$  doesn't find good soln.

Figure 7. A 2D loss landscape visualization of our method in the setting of a model with a single layer and two parameters on a single input scale.





## Our Hypothesis



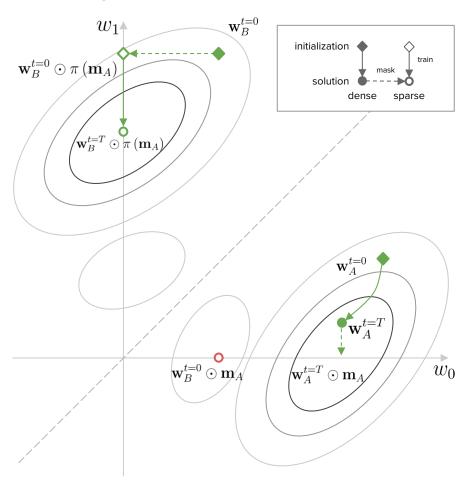
- Hypothesis: Sparse training from random init does not work well because the mask is misaligned with the new basin of  $w_R^{t=0}$
- Can we adapt the mask  $m_A$  derived from  $w_A^{t=0}$  for  $w_B^{t=0}$ ?

Figure 7. A 2D loss landscape visualization of our method in the setting of a model with a single layer and two parameters on a single input scale.





#### Our Hypothesis



• Recall<sup>1</sup>: the basins of  $w_A^{t=T}$  and  $w_B^{t=T}$  are related by a permutation  $\pi$ :

$$\pi(\mathbf{w}_A^{t=T}) = \mathbf{w}_B^{t=T}$$

 Are the masks for different basins also related by the same permutation?

$$\pi(\mathbf{m}_A) = \mathbf{m}_B$$

<sup>1</sup>Samuel K. Ainsworth, Jonathan Hayase, Siddhartha Srinivasa. *Git Re-Basin: Merging Models modulo Permutation Symmetries*. ICLR 2023.



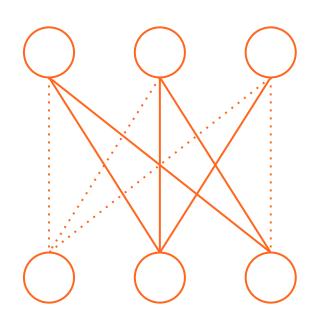
# 4. Aligning Sparse Masks

i. Hypothesis

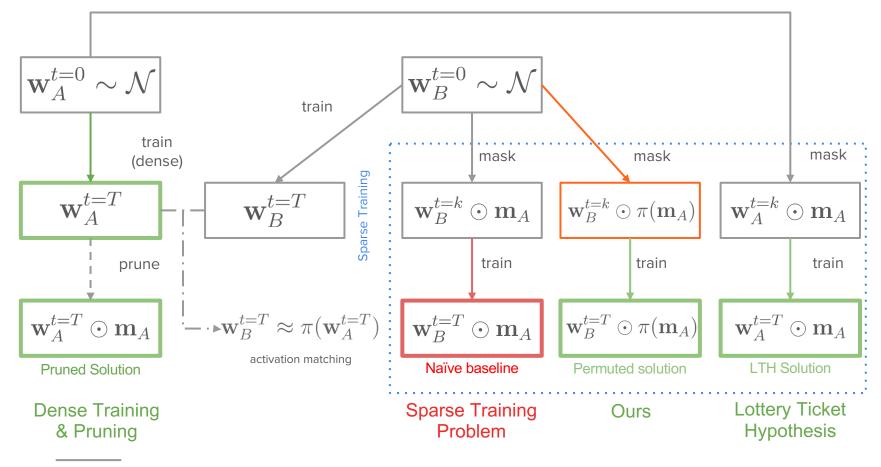
ii. Experimental Methodology

iii. Results

iv. Analysis







train mask

match



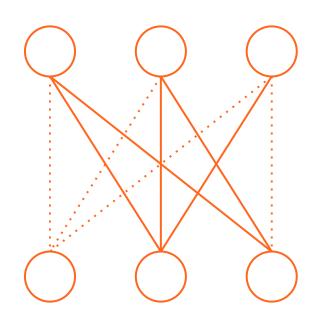
# 4. Aligning Sparse Masks

i. Hypothesis

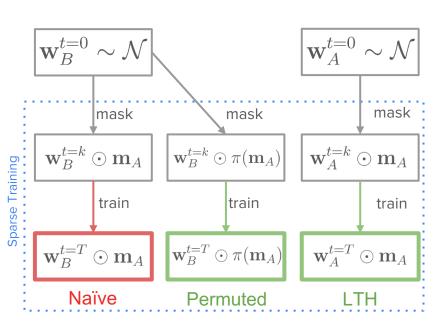
ii. Experimental Methodology

#### iii. Results

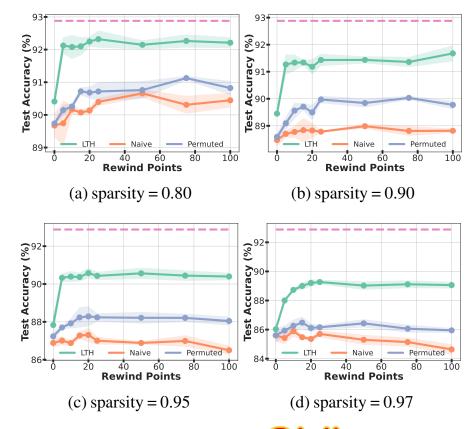
iv. Analysis



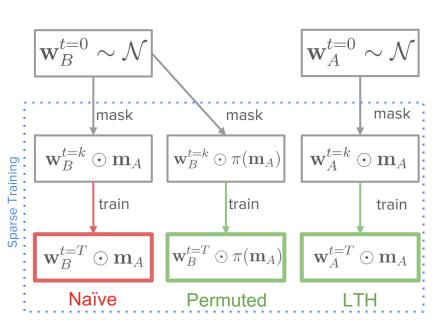




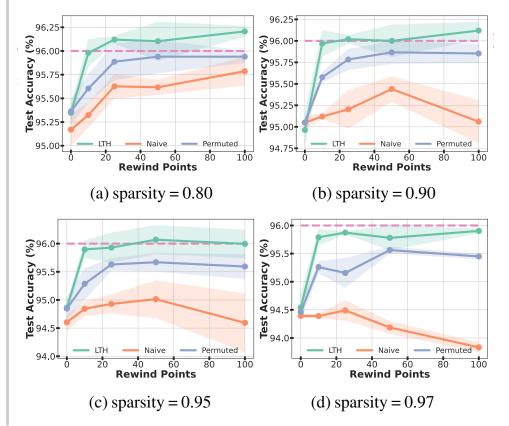
#### ResNet20 x {Width 1} on CIFAR-10



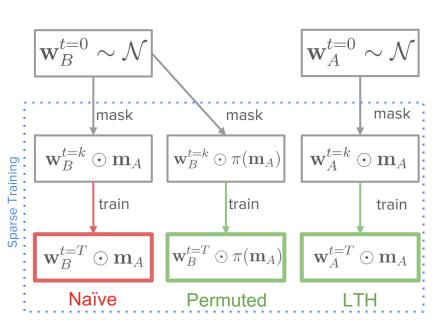




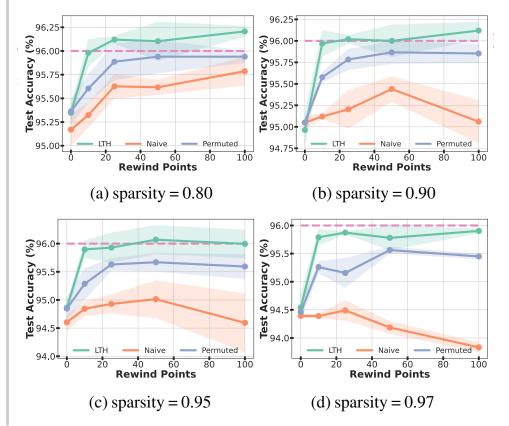
#### ResNet20 x {Width 8} on CIFAR-10



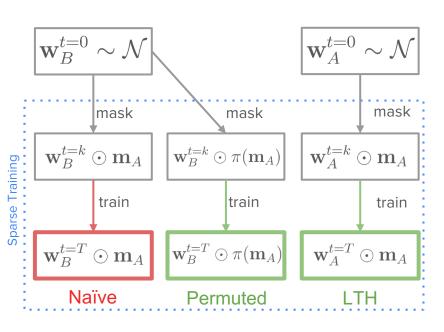




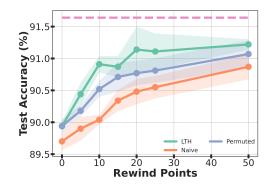
#### ResNet20 x {Width 8} on CIFAR-10

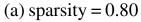


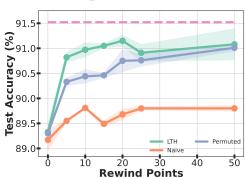




#### VGG11 x {Width 1} on CIFAR-10



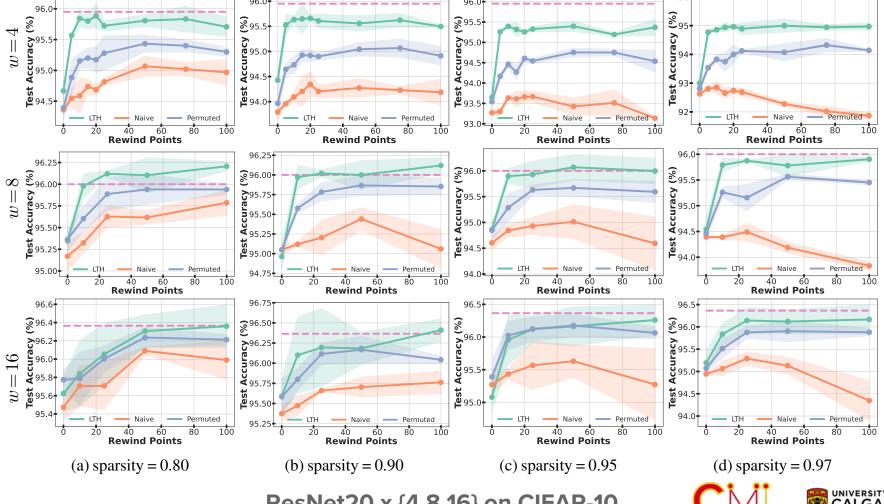




(b) sparsity = 
$$0.90$$

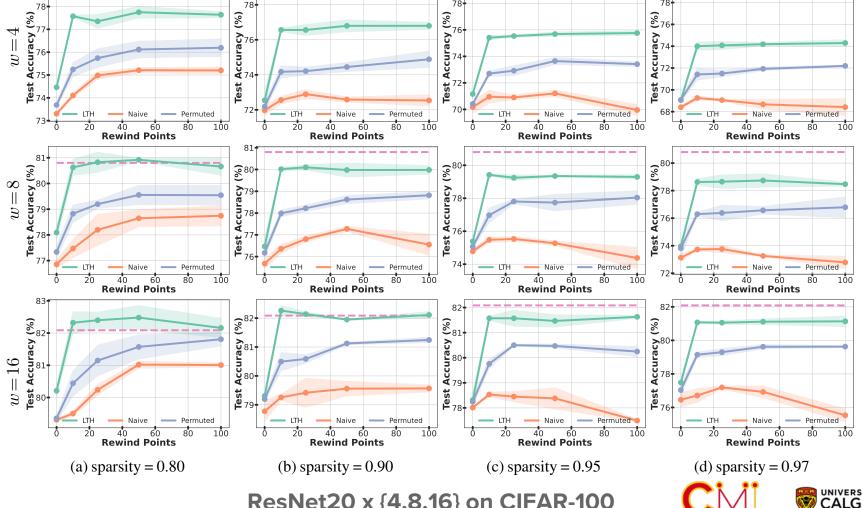






ResNet20 x {4,8,16} on CIFAR-10





ResNet20 x {4,8,16} on CIFAR-100



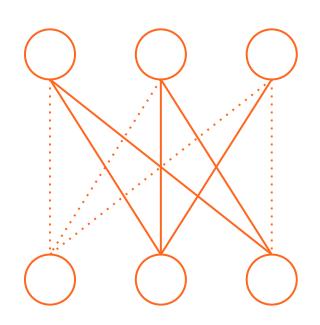
# 4. Aligning Sparse Masks

i. Hypothesis

ii. Experimental Methodology

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#### **Functional Diversity**

- Our previous work showed that the LTH relearns a highly similar solution
- Unlike LTH, we can reuse the LTH mask with different random initializations
- We do see improved function diversity over LTH, comparable to dense!
- More computationally efficient way to improve diversity than iterative magnitude pruning alone

Mask	Test Accuracy	Ensemble	Disagree-	KL	JS
	(%)	Acc. (%)	ment		
	ResNet20	$\times$ {1}/CIFA	R-10		
none (dense)	$92.76 \pm 0.106$	-	-	-	-
IMP	$91.09 \pm 0.041$	93.25	0.093	0.352	0.130
LTH	$91.15 \pm 0.163$	91.43	0.035	0.038	0.011
permuted	$89.38 \pm 0.170$	91.75	0.107	0.273	0.091
naive	$88.68 \pm 0.205$	91.07	0.113	0.271	0.089
	ResNet20	$\times \{4\}$ /CIFA	R-100		
none (dense)	$78.37 \pm 0.059$	-	-	-	_
IMP	$74.46 \pm 0.321$	79.27	0.259	1.005	0.372
LTH	$75.35 \pm 0.204$	75.99	0.117	0.134	0.038
permuted	$72.48 \pm 0.356$	<i>77.</i> 85	0.278	0.918	0.327
naive	$71.05 \pm 0.366$	76.15	0.290	0.970	0.348



#### Conclusion

- The Lottery Ticket Hypothesis excited the community on the possibility of sparse training and sparse mask re-use, but LTH is limited to re-learning the same soln.
- We explain the sparse training problem: misalignment between a pruned mask and the loss basin of a new random initialization prevents effective re-use of sparse masks for training
- We show how to re-use a mask to find new solutions:
  - We can approximately permute an existing sparse mask for a new random initialization, although this is currently computationally expensive
  - We found the functional diversity of sparse training solutions to be comparable to dense training when using permuted masks.



#### **Future Directions**

- Improving the efficiency and/or efficacy of permutation alignment would make the method we propose more practical
- Explaining and/or avoiding weight "rewinding", i.e. checkpoints in LTH/sparse training
  - Notably Dynamic Sparse Training (DST) methods do not need this, but learn masks
- We see high function diversity with our method of sparse training:
  - Can we efficiently create ensembles using permutations of sparse masks?
  - Could help align weight sparse experts in MoEs for merging











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Alliance de recherche numérique du Canada

## Questions?





Yani loannou yani.ioannou@ucalgary.ca

